

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
62545-024

In Re Application Of: E. K. Gustafson and A. A. Godil

Serial No. 09/900,753	Filing Date 07/06/2001	Examiner not yet known	Group Art Unit 2877
Title: DYNAMIC GAIN AND CHANNEL EQUALIZERS			
<i>#8 FAS R. Jones 2/13/02</i>			

Address to:
 Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
 whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

FEB 04 2002

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
62545-024

In Re Application Of: E. K. Gustafson and A. A. Godil

Serial No.	Filing Date	Examiner	Group Art Unit
09/900,753	07/06/2001	not yet known	2877

Title: DYNAMIC SPECTRAL SHAPING FOR FIBER-OPTIC APPLICATION

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of _____

Credit any overpayment.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (703) 358-7382) on 2/4/02
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Kait McLendon Kent

Signature

R. Kait McLendon Kent

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

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*This certificate may only be used if paying by deposit account.

James E. Eakin

Signature

Dated: 1 FEB 2002

James E. Eakin
Reg. NO. 27,874
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Menlo Park, CA 94025

cc:

FEB 04 2002

FEB 04 2002

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
62545-024

In Re Application Of: E. K. Gustafson and A. A. Godil

Serial No.	Filing Date	Examiner	Group Art Unit
09/900,753	07/06/2001	not yet known	2877

Invention: DYNAMIC GAIN AND CHANNEL EQUALIZERS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature

Dated: 1.FEB 2002

James E. Eakin
Reg. No. 27,874
McDermott, Will & Emery
2700 Sand Hill Road
Menlo Park, CA 94025

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. (703) 308-7382) on 2/4/02 (Date)	
<i>Kait McLendon Kent</i> Signature	
R. Kait McLendon Kent Typed or Printed Name of Person Signing Certificate	

CC:

P10C/REV01

FEB 04 2002

FEDERAL REGISTER 2002-2003

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional) 62545-024	Application Number 09/900,753
Applicant(s) E. K. Gustafson and A. A. Godil	
Filing Date 07/06/2001	Group Art Unit 2877

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820
(also form PTO-1449)

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